

# Chapter 9 - Polarization

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# Polarization

- The x-y components of the field can be expressed via a 2D “Jones” vector:

$$\mathbf{J} = \begin{pmatrix} E_x \\ E_y \end{pmatrix} = \begin{pmatrix} A_x e^{i\phi_x} \\ A_y e^{i\phi_y} \end{pmatrix} \quad (9.1)$$

- Time variation:

$$E_x = A_x \cos(\omega t + \phi_x) \quad (9.2)$$

$$E_y = A_y \cos(\omega t + \phi_y)$$



# Polarization

- Eliminate time:

$$\begin{aligned} E_x &= A_x \left[ \cos(\omega t) \cos(\phi_x) - \sin(\omega t) \sin(\phi_x) \right] \\ E_y &= A_y \left[ \sin(\omega t) \sin(\phi_y) + \cos(\omega t) \cos(\phi_y) \right] \end{aligned} \quad (9.3)$$

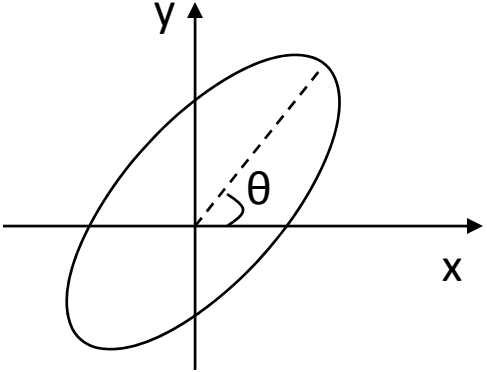
- Take as exercise to prove that the trajectory of the E-field is:

$$\frac{E_x^2}{A_x^2} + \frac{E_y^2}{A_y^2} - \frac{2E_x E_y}{A_x A_y} \cos \Delta\phi = \sin^2 \Delta\phi \quad (9.4)$$

where  $\Delta\phi = \phi_y - \phi_x$



# Polarization

- This is an ellipse:
 
- In general, it's rotated by angle  $\theta$
- This is what we would “see” looking straight at the incoming beam
- There are few particular cases:
  - a) Linear polarization:  $\Delta\phi = 0, \pi$

$$\Rightarrow \left( \frac{E_x}{A_x} \pm \frac{E_y}{A_y} \right)^2 = 0 \Rightarrow \underline{\text{line}}$$

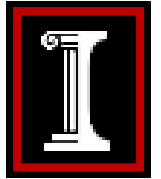
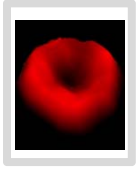


## Polarization

b) Circular polarization:  $\begin{cases} \Delta\phi = \pm\pi/2 \\ A_x = A_y \end{cases} \begin{cases} \pi/2 \rightarrow \text{clockwise} \\ -\pi/2 \rightarrow \text{clockwise} \end{cases}$

$$\Rightarrow E_x^2 + E_y^2 = A^2$$

c) Straight ellipse:  $\begin{cases} \Delta\phi = \pm\pi/2 \\ A_x \neq A_y \end{cases}$

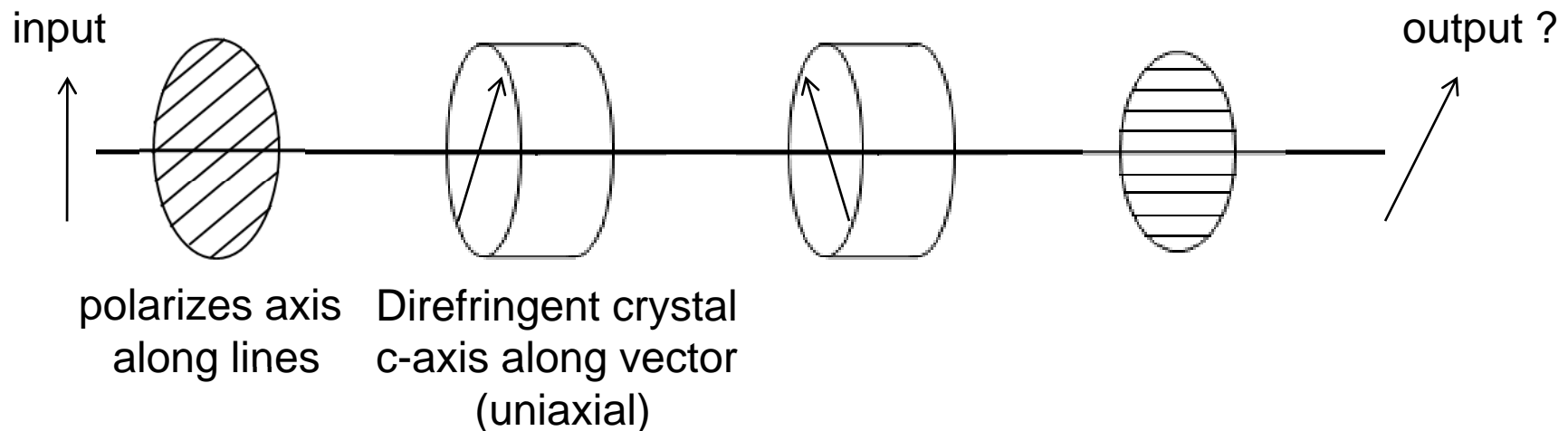


# Jones Calculus for Birefringent Optical Systems



# Introduction

- ABCD matrices told us about amplitude distribution on propagation
- Jones “calculus” deals with polarization changes for polarization sensitive optical elements





# Introduction

- Jones “calculus” developed around 1940
- Based on 2x2 matrices (similar to ABCD)
  - Need 2 to describe Z polarization
  - Light travels 1 of 2 transverse normal modes
- Birefringent crystals play role because two modes travel at different phase velocities
- Uniaxials simple – c-axis in plate surface





## Retardation Plates (Waveplates)

- Change polarization state
- Assumption: no reflections at surface of elements (can use anti-reflection coatings)
- Use Jones matrices discussed before

$$\vec{E} = \frac{1}{2} \vec{V} \underbrace{E(x, y, z)}_{\text{Slow variation O.K.}} e^{j(\omega t - kz)} + cc$$

describes  
polarization

$$\begin{pmatrix} V_x \\ V_y \end{pmatrix}; V_x^2 + V_y^2 = 1$$



## Retardation Plates (Waveplates)

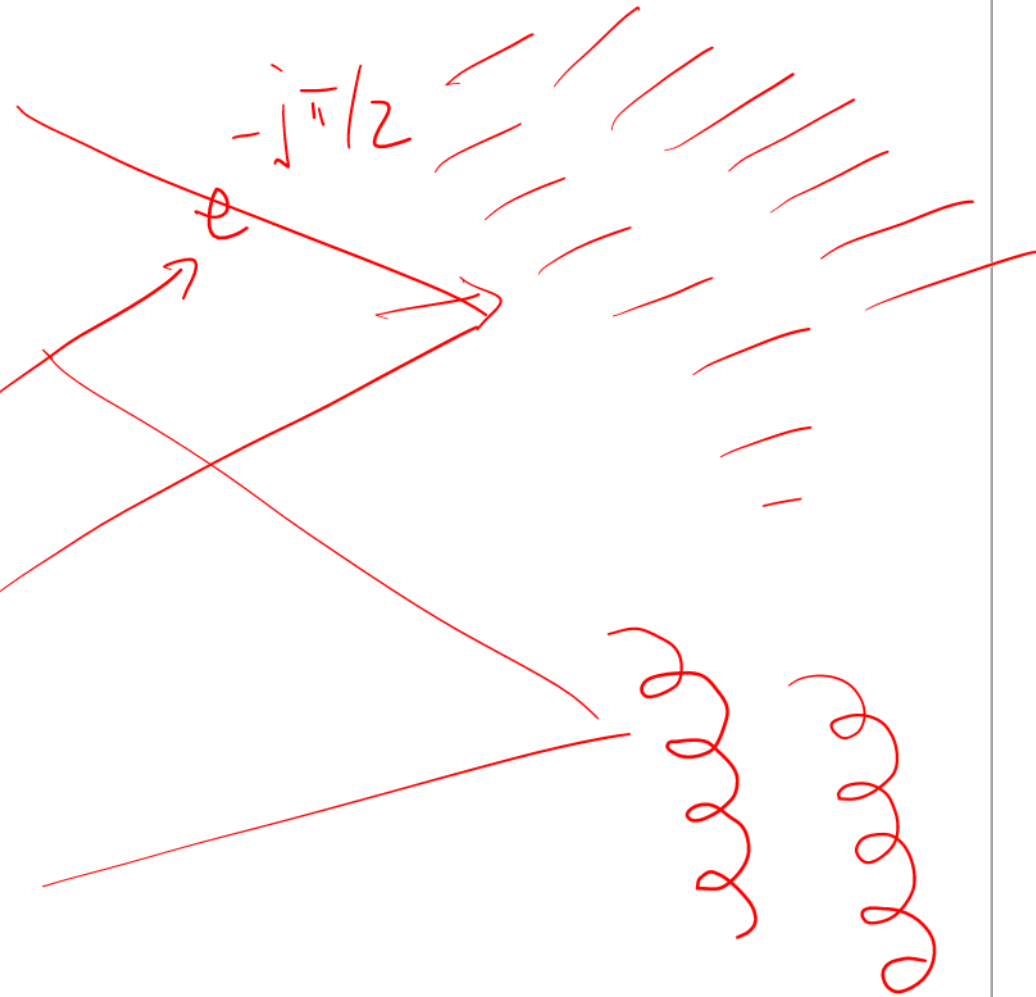
▪ E.g.

▪ x-polarized  $\begin{pmatrix} V_x \\ V_y \end{pmatrix} = \begin{pmatrix} 1 \\ 0 \end{pmatrix}$

$\Rightarrow \frac{1}{\sqrt{2}} \begin{pmatrix} 1 \\ -j \end{pmatrix}$

▪ y-polarized  $\begin{pmatrix} V_x \\ V_y \end{pmatrix} = \begin{pmatrix} 0 \\ 1 \end{pmatrix}$

$\Rightarrow \frac{1}{\sqrt{2}} \begin{pmatrix} 1 \\ j \end{pmatrix}$

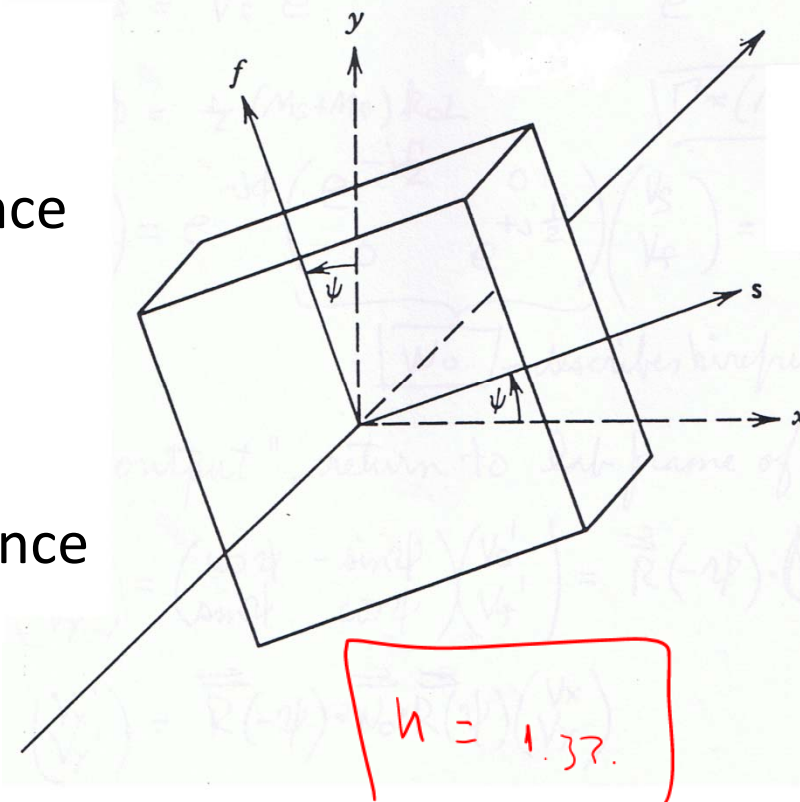




# Retardation Plates (Waveplates)

x,y,z  
laboratory  
frame of reference

f,s,z  
crystal  
frame of reference



f – “fast” axis  
s – “slow” axis

$$n_s > n_f$$

$$n = 1.37$$

$$\vec{P} = \epsilon_0 \vec{\chi} \vec{E}$$

$n^2 - 1$   
↓

$$\epsilon_{ij} = \begin{pmatrix} n_{11} & n_{12} & n_{13} \\ n_{21} & n_{22} & n_{23} \\ n_{31} & n_{32} & n_{33} \end{pmatrix}$$

$\vec{E}$   
↑  
 $\vec{P}$   
↑  
 $\vec{D}$   
↑

$$\vec{\nabla} \times \vec{E}$$

$$\vec{\nabla} \times \vec{H}$$

$$\vec{\Delta} = \sum \vec{E}$$

$$\epsilon_0 \vec{E}$$

$$\vec{\nabla} \cdot \vec{D} = \rho$$

$$\vec{\nabla} \cdot \vec{B} = 0$$

$$i \vec{k} \cdot \vec{\Delta}(\vec{k}, \omega) = \cancel{j(\vec{k})}$$

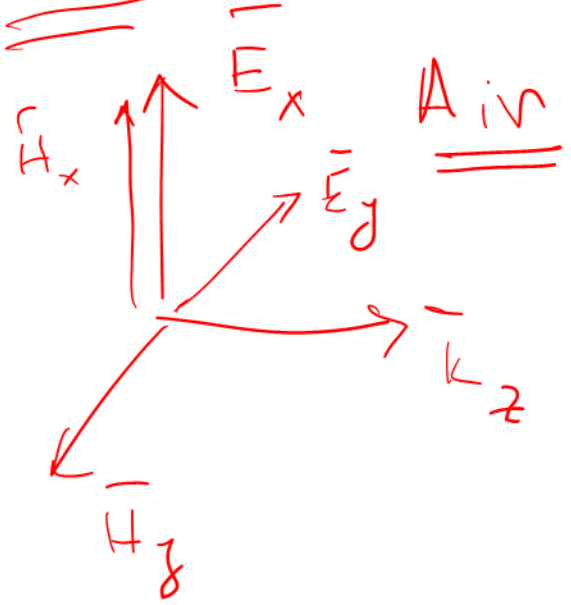
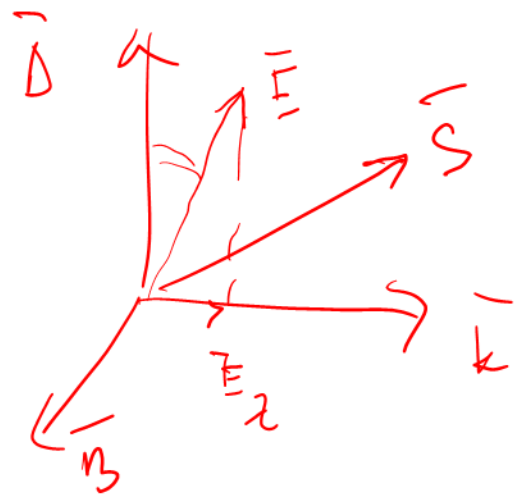
$$i \vec{k} \cdot \vec{B} = 0$$

$\mu_0 H$

$$k \perp \Delta \rightarrow \underline{\underline{k \perp E}}$$

$$k \perp B \Rightarrow \underline{\underline{k \perp H}}$$

$$\vec{k} \perp \vec{\Delta}; \vec{k} \perp \vec{B}$$



$$\vec{S} = \vec{E} \times \vec{H}$$



## Retardation Plates (Waveplates)

■ From before 
$$\begin{pmatrix} V_s \\ V_f \end{pmatrix} = \underbrace{\begin{pmatrix} \cos \psi & \sin \psi \\ -\sin \psi & \cos \psi \end{pmatrix}}_{R(\psi)} \begin{pmatrix} V_x \\ V_y \end{pmatrix}$$

just inside the crystal  $R(\psi)$  just outside the crystal

- $V_s$  &  $V_f$  propagate independently in the crystal

- After a distance  $L$ :  $V_s' = V_s e^{-jn_s k_0 L}$

$$V_f' = V_f e^{-jn_f k_0 L}$$

Rewrite: 
$$V_s' = V_s e^{-j\frac{1}{2}(n_s+n_f)k_0 L} e^{-j\frac{1}{2}(n_s-n_f)k_0 L}$$

$$V_f' = V_f e^{-j\frac{1}{2}(n_s+n_f)k_0 L} e^{+j\frac{1}{2}(n_s-n_f)k_0 L}$$

(1) 
$$\begin{pmatrix} V_s' \\ V_f' \end{pmatrix} = \begin{pmatrix} e^{-j\frac{1}{2}(n_s-n_f)k_0 L} \\ e^{+j\frac{1}{2}(n_s-n_f)k_0 L} \end{pmatrix}$$



## Retardation Plates (Waveplates)

- Define  $\phi = \frac{1}{2}(n_s + n_f)k_0L$        $\Gamma = (n_s - n_f)k_0L$

$$\begin{pmatrix} V_s' \\ V_f' \end{pmatrix} = e^{-j\phi} \begin{pmatrix} e^{-j\frac{\Gamma}{2}} & 0 \\ 0 & e^{+j\frac{\Gamma}{2}} \end{pmatrix} \begin{pmatrix} V_s \\ V_f \end{pmatrix} = e^{-j\phi} \overline{\overline{W_0}} \begin{pmatrix} V_s \\ V_f \end{pmatrix}$$

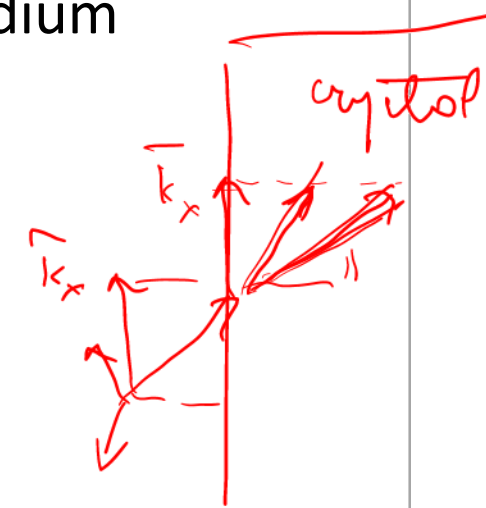
$$|\vec{k}| = n k_0$$

$\boxed{W_0}$  - describes birefringent medium

- To get “output”, return to lab frame of reference

$$S: k_x^2 + k_y^2 + k_z^2 = n_s^2 k_0^2$$

$$f: \quad \quad \quad = n_f^2 k_0^2$$





## Retardation Plates (Waveplates)

$$\begin{pmatrix} V_x' \\ V_y' \end{pmatrix} = \begin{pmatrix} \cos \psi & -\sin \psi \\ \sin \psi & \cos \psi \end{pmatrix} \begin{pmatrix} V_s' \\ V_f' \end{pmatrix} = \overline{\overline{R}}(-\psi) \begin{pmatrix} V_s' \\ V_f' \end{pmatrix}$$

$$\Rightarrow \begin{pmatrix} V_x' \\ V_y' \end{pmatrix} = \overline{\overline{R}}(-\psi) \cdot \overline{\overline{W}}_0 \cdot \overline{\overline{R}}(\psi) \begin{pmatrix} V_x \\ V_y \end{pmatrix}$$

←  
order of doing products of matrices

- Note: each matrix is unitary  $\|R(-\psi) = 1\|$   
 → Polarization remain orthogonal

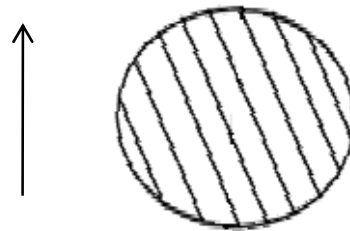


# Polarizers

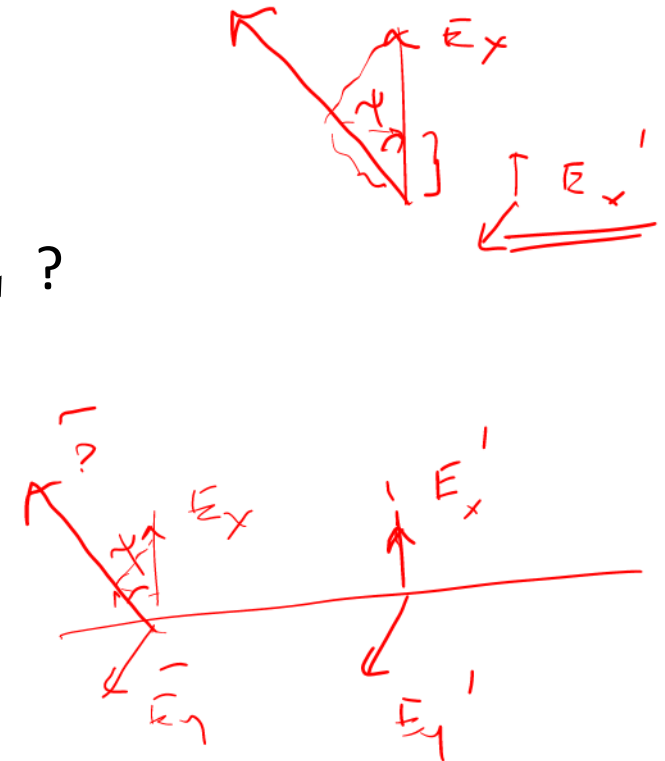
$$\text{x-axis } P_x = e^{-j\phi_p} \begin{pmatrix} 1 & 0 \\ 0 & 0 \end{pmatrix}$$

$$\text{y-axis } P_y = e^{-j\phi_p} \begin{pmatrix} 0 & 0 \\ 0 & 1 \end{pmatrix}$$

$\phi_p$  - phase change  
through polarizer



$$\begin{pmatrix} V_x' \\ V_y' \end{pmatrix} = \overline{\overline{R}}(-\psi) \cdot \overline{\overline{P}}_0 \cdot \overline{\overline{R}}(\psi) \begin{pmatrix} V_x \\ V_y \end{pmatrix}$$





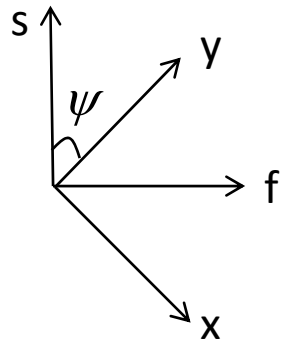


## Half (1/2) Wave Plate

$$\boxed{\Gamma = \pi} \Rightarrow L = \frac{\lambda}{2}(n_s - n_f)$$

$$\Rightarrow e^{\pm j\frac{\pi}{2}} \Rightarrow \pm j$$

$$\overline{\overline{W}} = \overline{\overline{R(-\psi)}} \cdot \overline{\overline{W_0}} \cdot \overline{\overline{R(\psi)}}$$



$$= \begin{pmatrix} \cos \psi & -\sin \psi \\ \sin \psi & \cos \psi \end{pmatrix} \begin{pmatrix} -j & 0 \\ 0 & j \end{pmatrix} \begin{pmatrix} \cos \psi & \sin \psi \\ -\sin \psi & \cos \psi \end{pmatrix}$$

$$= j \begin{pmatrix} \cos \psi & -\sin \psi \\ \sin \psi & \cos \psi \end{pmatrix} \begin{pmatrix} -\cos \psi & -\sin \psi \\ -\sin \psi & \cos \psi \end{pmatrix}$$

$$= -j \begin{pmatrix} \cos^2 \psi - \sin^2 \psi & 2\sin \psi \cos \psi \\ 2\sin \psi \cos \psi & \sin^2 \psi - \cos^2 \psi \end{pmatrix}$$

$e^{-i\frac{\Gamma}{2}}$   
 $e^{-i\frac{\pi}{2}}$   
 $= -j$



## ½ Wave Plate

$$\overline{\overline{W}} = -j \begin{pmatrix} \cos 2\psi & \sin 2\psi \\ \sin 2\psi & -\cos 2\psi \end{pmatrix}$$

→ rotates a plane of Polarization by  $2 \times \psi$

angle between lab and crystal frames

- Another important factor is the transmission through the element

$$T = \frac{|E'|^2}{|E|^2} = \frac{|V_x'|^2 + |V_y'|^2}{|V_x|^2 + |V_y|^2}$$



## ½ Wave Plate

- E.g.  $\begin{pmatrix} 1 \\ 0 \end{pmatrix}$  input

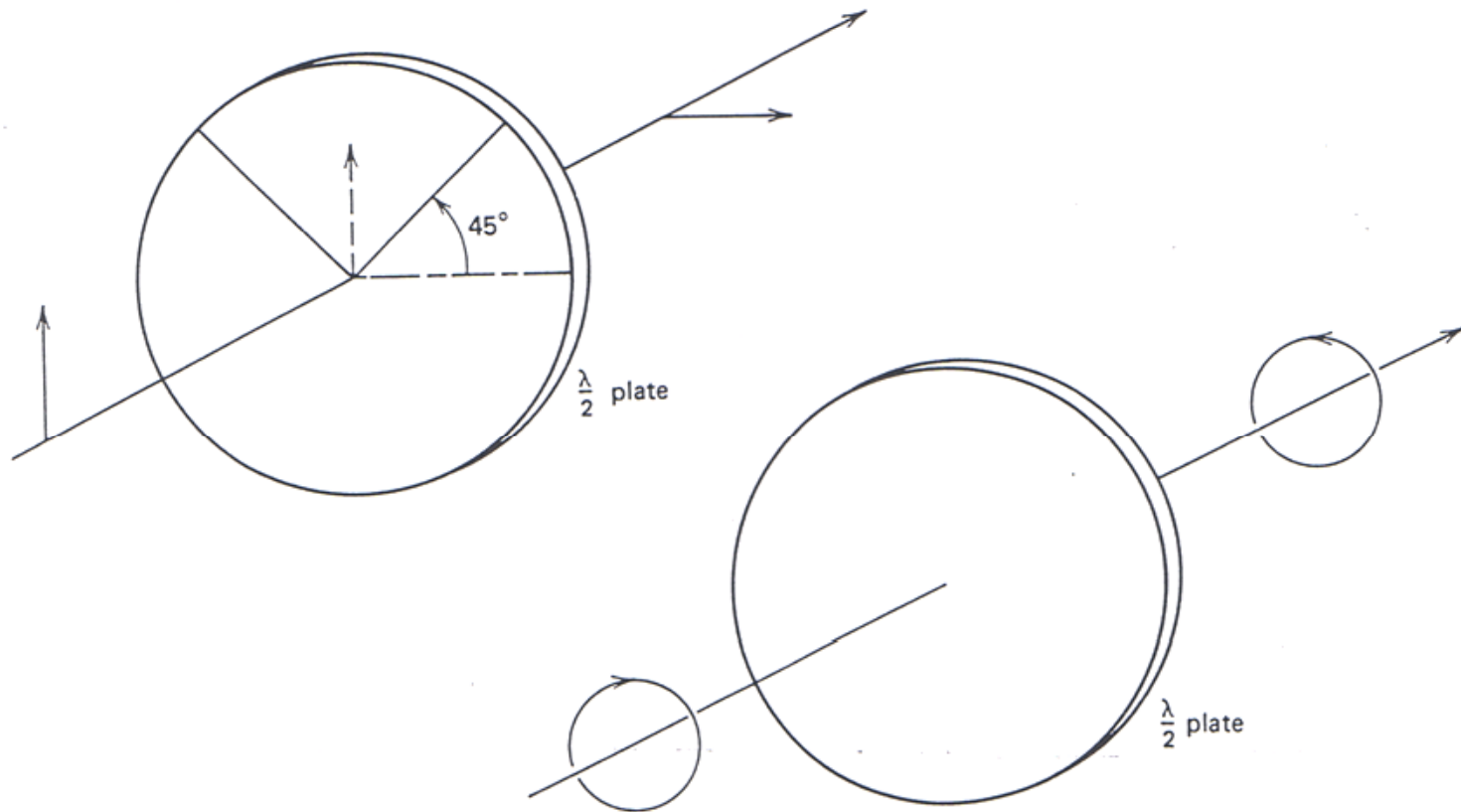
$$\begin{pmatrix} V_x' \\ V_y' \end{pmatrix} = -j \begin{pmatrix} \cos 2\psi & \sin 2\psi \\ \sin 2\psi & -\cos 2\psi \end{pmatrix} \begin{pmatrix} 1 \\ 0 \end{pmatrix} = -j \begin{pmatrix} \cos 2\psi \\ \sin 2\psi \end{pmatrix} \text{ if } \psi = 45^\circ = \begin{pmatrix} 0 \\ 1 \end{pmatrix}$$

- Just get net polarization rotation

$$\cos^2 2\psi + \sin^2 2\psi = 1 \Rightarrow T = 1$$



# $\frac{1}{2}$ Wave Plate





## ½ Wave Plate

- E.g.  $\frac{1}{\sqrt{2}} \begin{pmatrix} 1 \\ j \end{pmatrix}$  input

$$\begin{pmatrix} V_x' \\ V_y' \end{pmatrix} = \begin{pmatrix} \cos 2\psi & \sin 2\psi \\ \sin 2\psi & -\cos 2\psi \end{pmatrix} \begin{pmatrix} 1 \\ j \end{pmatrix} \frac{1}{\sqrt{2}} = -\frac{j}{\sqrt{2}} \begin{pmatrix} \cos 2\psi + j \sin 2\psi \\ \sin 2\psi - j \cos 2\psi \end{pmatrix}$$

$$\begin{aligned} T &= \frac{1}{2} (\cos 2\psi + j \sin 2\psi)(\cos 2\psi - j \sin 2\psi) + (\sin 2\psi - j \cos 2\psi)(\sin 2\psi + j \cos 2\psi) \\ &= \frac{1}{2} (\cos^2 2\psi + \sin^2 2\psi + \cos^2 2\psi + \sin^2 2\psi) = 1 \end{aligned}$$

- Is the output still circularly polarized?
- Depends on  $\psi$



## ½ Wave Plate

- Choose  $\psi = \frac{\pi}{4}$

$$\begin{pmatrix} V_x' \\ V_y' \end{pmatrix} = -\frac{j}{\sqrt{2}} \begin{pmatrix} j \\ 1 \end{pmatrix} = -\frac{1}{\sqrt{2}} \begin{pmatrix} 1 \\ -j \end{pmatrix}$$

- (1) still circularly polarized
- (2) reverses sense of rotation
- (3) for  $\psi \neq \frac{\pi}{4}$ , get elliptical polarization!



## ¼ Wave Plate

$$\boxed{\Gamma = \frac{\pi}{2}} \Rightarrow L = \frac{\lambda}{4}(n_s - n_f)$$

$$\Rightarrow e^{\pm j\frac{\pi}{4}} = \cos\frac{\pi}{4} \pm j\sin\frac{\pi}{4} = \frac{1}{\sqrt{2}}(1 \pm j)$$

Assume  $\Psi = 45^\circ$ :

$$\begin{aligned} \overline{\overline{W}} &= \overline{\overline{R}}(-45^\circ) \cdot \overline{\overline{W}}_0 \begin{pmatrix} \frac{\pi}{2} \\ 2 \end{pmatrix} \cdot \overline{\overline{R}}(45^\circ) = \frac{1}{2} \begin{pmatrix} 1 & -1 \\ 1 & 1 \end{pmatrix} \begin{pmatrix} e^{-j\frac{\pi}{4}} & 0 \\ 0 & e^{j\frac{\pi}{4}} \end{pmatrix} \begin{pmatrix} 1 & 1 \\ -1 & 1 \end{pmatrix} \\ &= \frac{1}{2} e^{-j\frac{\pi}{4}} \begin{pmatrix} 1+j & 1-j \\ 1-j & 1+j \end{pmatrix} \end{aligned}$$

## ¼ Wave Plate



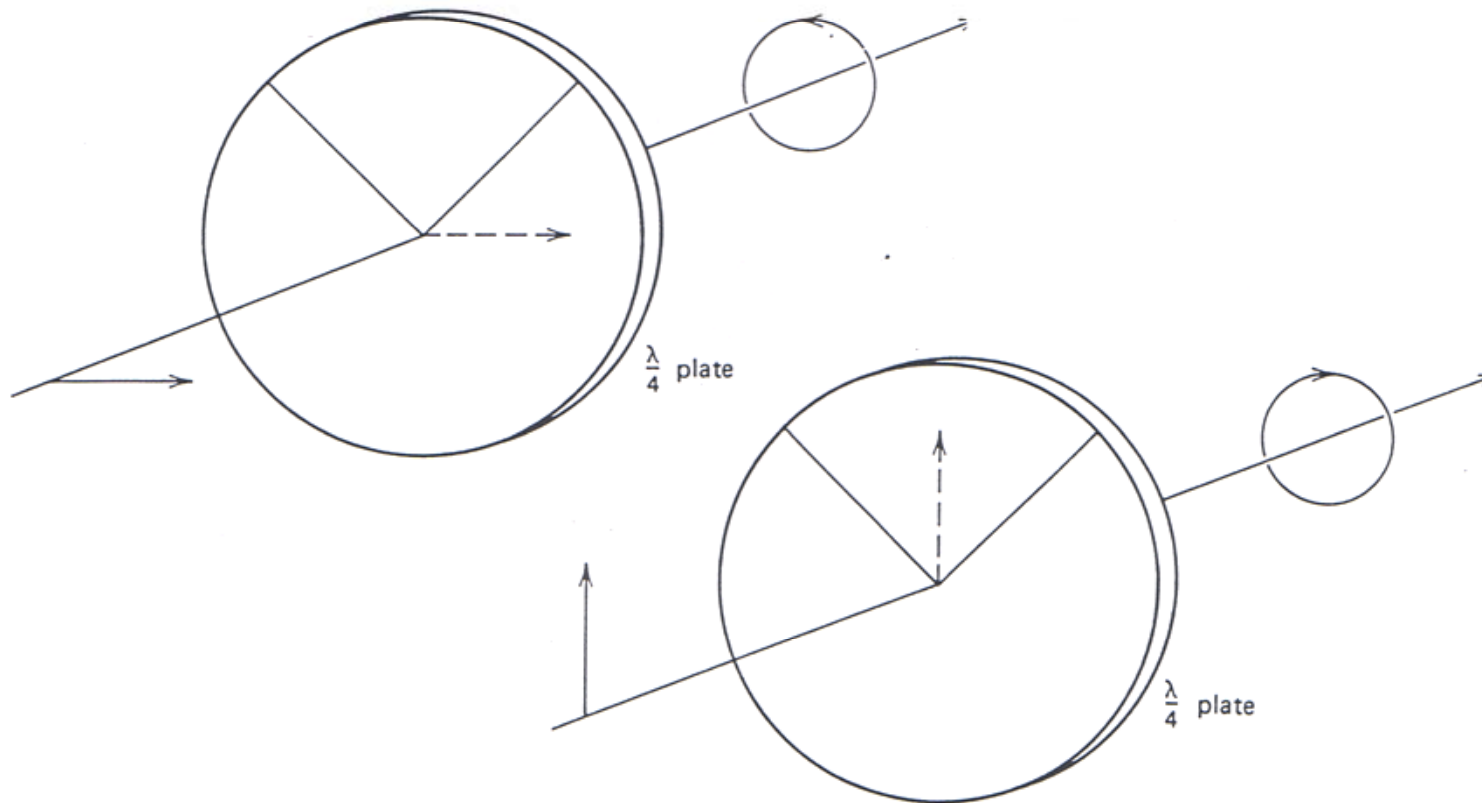
$$\begin{aligned} \text{E.g. } \begin{pmatrix} V_x \\ V_y \end{pmatrix} &= \begin{pmatrix} 1 \\ 0 \end{pmatrix}; \begin{pmatrix} V_x' \\ V_y' \end{pmatrix} = \frac{e^{-j\frac{\pi}{4}}}{2} \begin{pmatrix} 1+j & 1-j \\ 1-j & 1+j \end{pmatrix} \begin{pmatrix} 1 \\ 0 \end{pmatrix} = \frac{e^{-j\frac{\pi}{4}}}{2} \begin{pmatrix} 1+j \\ 1-j \end{pmatrix} \\ &= \frac{1+j}{2} e^{-j\frac{\pi}{4}} \begin{pmatrix} 1 \\ -j \end{pmatrix} \quad \text{RCP} \end{aligned}$$

$$\begin{aligned} \text{E.g. } \begin{pmatrix} V_x \\ V_y \end{pmatrix} &= \begin{pmatrix} 1 \\ -j \end{pmatrix}; \begin{pmatrix} V_x' \\ V_y' \end{pmatrix} = \frac{e^{-j\frac{\pi}{4}}}{2} \begin{pmatrix} 1+j & 1-j \\ 1-j & 1+j \end{pmatrix} \frac{1}{\sqrt{2}} \begin{pmatrix} 1 \\ -j \end{pmatrix} = \frac{e^{-j\frac{\pi}{4}}}{2\sqrt{2}} \begin{pmatrix} 0 \\ 2-2j \end{pmatrix} \\ &= \frac{1-j}{\sqrt{2}} e^{-j\frac{\pi}{4}} \begin{pmatrix} 0 \\ 1 \end{pmatrix} \quad \text{y-polarized} \end{aligned}$$



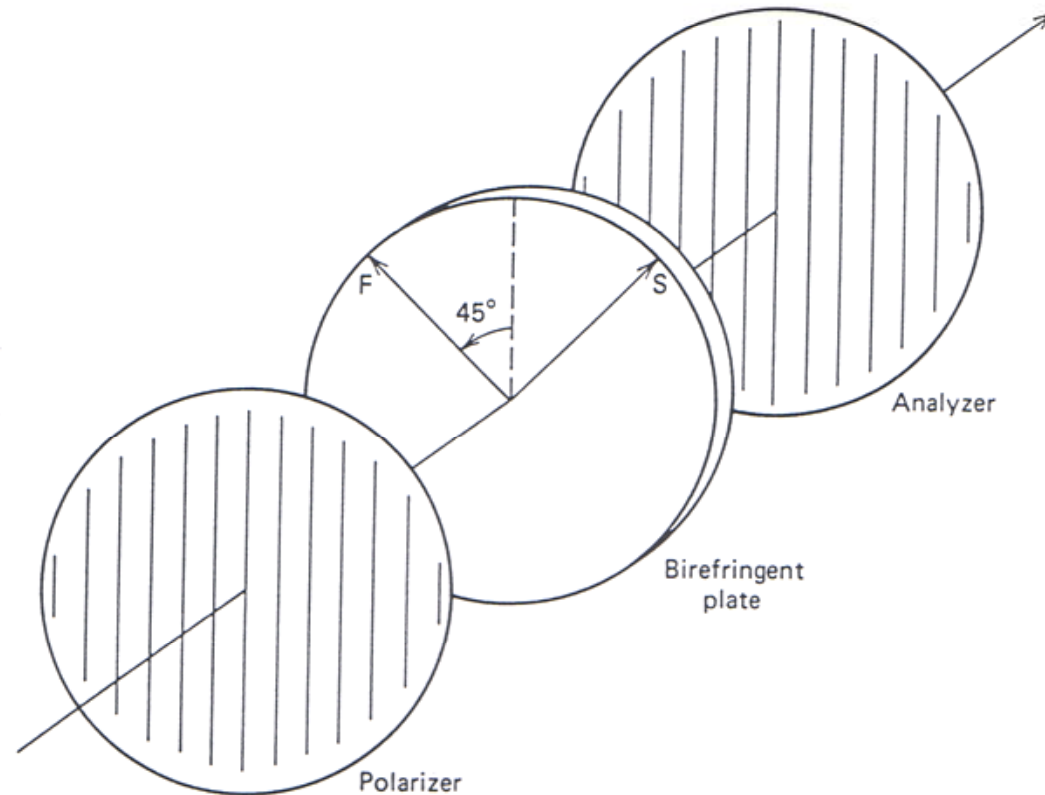


# $\frac{1}{4}$ Wave Plate





# Waveplates and Polarizers





## Waveplates and Polarizers

$$W = R(-45^\circ) \cdot W_0(\Gamma) \cdot R(45^\circ) = \frac{1}{2} \begin{pmatrix} 1 & -1 \\ 1 & 1 \end{pmatrix} \begin{pmatrix} e^{-j\frac{\Gamma}{2}} & 0 \\ 0 & e^{j\frac{\Gamma}{2}} \end{pmatrix} \begin{pmatrix} 1 & -1 \\ 1 & 1 \end{pmatrix}$$

$$= \frac{2}{2} \begin{pmatrix} \cos\frac{\Gamma}{2} & -j\sin\frac{\Gamma}{2} \\ -j\sin\frac{\Gamma}{2} & \cos\frac{\Gamma}{2} \end{pmatrix} = \begin{pmatrix} \cos\frac{\Gamma}{2} & -j\sin\frac{\Gamma}{2} \\ -j\sin\frac{\Gamma}{2} & \cos\frac{\Gamma}{2} \end{pmatrix}$$

$$P_x \cdot W \cdot P_x = \begin{pmatrix} 1 & 0 \\ 0 & 0 \end{pmatrix} \begin{pmatrix} \cos\frac{\Gamma}{2} & -j\sin\frac{\Gamma}{2} \\ -j\sin\frac{\Gamma}{2} & \cos\frac{\Gamma}{2} \end{pmatrix} \begin{pmatrix} 1 & 0 \\ 0 & 0 \end{pmatrix}$$

$$= \begin{pmatrix} 1 & 0 \\ 0 & 0 \end{pmatrix} \begin{pmatrix} \cos\frac{\Gamma}{2} & 0 \\ -j\sin\frac{\Gamma}{2} & 0 \end{pmatrix} = \begin{pmatrix} \cos\frac{\Gamma}{2} & 0 \\ 0 & 0 \end{pmatrix}$$



## Waveplates and Polarizers

- Case I: unpolarized light  $\begin{pmatrix} V_x \\ V_y \end{pmatrix} = \frac{1}{\sqrt{2}} \begin{pmatrix} 1 \\ 1 \end{pmatrix}$

$$\begin{pmatrix} V_x' \\ V_y' \end{pmatrix} = \frac{1}{\sqrt{2}} \begin{pmatrix} \cos \frac{\Gamma}{2} & 0 \\ 0 & 0 \end{pmatrix} \begin{pmatrix} 1 \\ 1 \end{pmatrix} = \begin{pmatrix} \cos \frac{\Gamma}{2} \\ 0 \end{pmatrix} \quad \text{linearly polarized: obvious !!}$$

$$T = \frac{|V_x'|^2 + |V_y'|^2}{|V_x|^2 + |V_y|^2} = \frac{1}{2} \cos^2 \frac{\Gamma}{2} \quad \frac{1}{2} \text{ lost at first polarizer!}$$



## Waveplates and Polarizers

▪ Case II: 
$$\begin{pmatrix} V_x \\ V_y \end{pmatrix} = \begin{pmatrix} 1 \\ 0 \end{pmatrix}$$

$$\begin{pmatrix} V_x' \\ V_y' \end{pmatrix} = \begin{pmatrix} \cos \frac{\Gamma}{2} & 0 \\ 0 & 0 \end{pmatrix} \begin{pmatrix} 1 \\ 0 \end{pmatrix} = \begin{pmatrix} \cos \frac{\Gamma}{2} \\ 0 \end{pmatrix}$$

$$T = \cos^2 \frac{\Gamma}{2}$$



## Waveplates and Polarizers

- Case III: 
$$\begin{pmatrix} V_x \\ V_y \end{pmatrix} = \frac{1}{\sqrt{2}} \begin{pmatrix} 1 \\ -j \end{pmatrix}$$

$$\begin{pmatrix} V_x' \\ V_y' \end{pmatrix} = \frac{1}{\sqrt{2}} \begin{pmatrix} \cos \frac{\Gamma}{2} & 0 \\ 0 & 0 \end{pmatrix} \begin{pmatrix} 1 \\ -j \end{pmatrix} = \frac{1}{\sqrt{2}} \begin{pmatrix} \cos \frac{\Gamma}{2} \\ 0 \end{pmatrix}$$

$$T = \frac{1}{2} \cos^2 \frac{\Gamma}{2}$$

- For  $\frac{1}{2}$  wave plates,  $\Gamma = \pi \Rightarrow T = 0$



# Birefringent Plate between Crossed Polarizers

$\chi = \chi$

$\Psi = 45^\circ$ :

$$W = R(-45^\circ) \cdot W_0(\Gamma) \cdot R(45^\circ) = \begin{pmatrix} \cos \frac{\Gamma}{2} & -j \sin \frac{\Gamma}{2} \\ -j \sin \frac{\Gamma}{2} & \cos \frac{\Gamma}{2} \end{pmatrix}$$

$$P_y \cdot W \cdot P_x = \begin{pmatrix} 0 & 0 \\ 0 & 1 \end{pmatrix} \begin{pmatrix} \cos \frac{\Gamma}{2} & -j \sin \frac{\Gamma}{2} \\ -j \sin \frac{\Gamma}{2} & \cos \frac{\Gamma}{2} \end{pmatrix} \begin{pmatrix} 1 & 0 \\ 0 & 0 \end{pmatrix} = \begin{pmatrix} 0 & 0 \\ -j \sin \frac{\Gamma}{2} & 0 \end{pmatrix}$$



## Birefringent Plate between Crossed Polarizers

- Case I: unpolarized light  $\begin{pmatrix} V_x \\ V_y \end{pmatrix} = \frac{1}{\sqrt{2}} \begin{pmatrix} 1 \\ 1 \end{pmatrix} \begin{matrix} e^{i\phi_x} \\ e^{i\phi_y} \end{matrix}$  ;  $(\phi_x - \phi_y) = \pi$

$$\begin{pmatrix} V_x' \\ V_y' \end{pmatrix} = \frac{1}{\sqrt{2}} \begin{pmatrix} 0 & 0 \\ -j \sin \frac{\Gamma}{2} & 0 \end{pmatrix} \begin{pmatrix} 1 \\ 1 \end{pmatrix} = \frac{1}{\sqrt{2}} \begin{pmatrix} 0 \\ -j \sin \frac{\Gamma}{2} \end{pmatrix}$$

$$T = \frac{1}{2} \sin^2 \frac{\Gamma}{2}$$



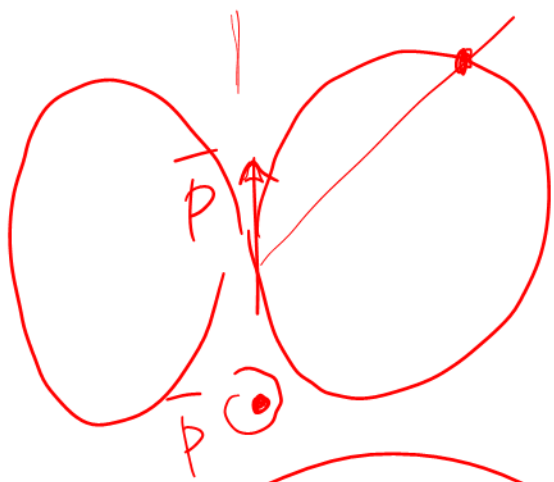


## Birefringent Plate between Crossed Polarizers

▪ Case II:  $\begin{pmatrix} V_x \\ V_y \end{pmatrix} = \begin{pmatrix} 1 \\ 0 \end{pmatrix}$

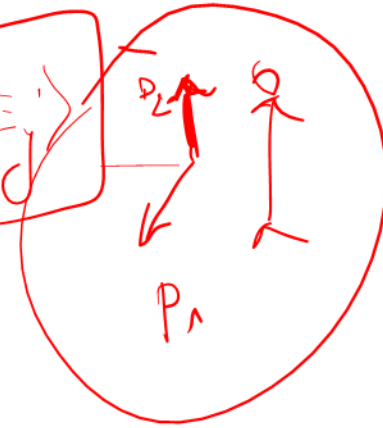
$$\begin{pmatrix} V_x' \\ V_y' \end{pmatrix} = \begin{pmatrix} 0 & 0 \\ -j \sin \frac{\Gamma}{2} & 0 \end{pmatrix} \begin{pmatrix} 1 \\ 0 \end{pmatrix} = \begin{pmatrix} 0 \\ -j \sin \frac{\Gamma}{2} \end{pmatrix}$$

$$T = \sin^2 \frac{\Gamma}{2}$$



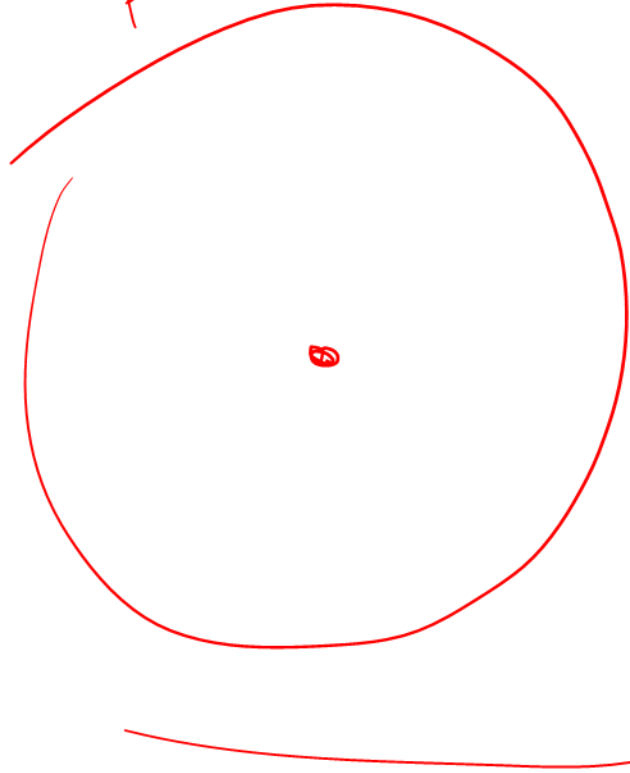
$$\begin{pmatrix} E_x \\ E_y \\ E_z \end{pmatrix}$$

$$\Gamma_{ij} = \langle E_i | E_j \rangle$$



$$\langle \phi_L^{(H)} | \phi_n^{(H+\tau)} \rangle = \underline{\underline{S(\tau)}}$$

$$\langle \psi(t) | \psi^*(t+\tau) \rangle$$



$$\begin{pmatrix} E_x \\ E_y \end{pmatrix} = |E_x| \begin{pmatrix} 1 \\ e^{i\tau/2} \end{pmatrix}$$

